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Patent Number 6,759,058 Issue Date 7/6/2004 Application Number 09/931,399 8/16/2001 First Named Inventor Guru V. BETAGERI **ENTERIC-COATED PROLIPOSOMAL** FORMULATIONS FOR POORLY WATER SOLUBLE DRUG\$ Group Art Unit 1615 **Examiner Name** Gollamudi S. KISHMORE Attorney Docket Number 043980-1000

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☐ Applicant/Inventor.					
Assignee of record of the Statement under 37 CFI	e entire interest. \$ R 3.73(b) is enclose	ee 37 CFR 3.7 ed. (Form PTC	l. /SB/96)		
·	SIGNATURE of	Applicant or A	Assignee of Rea	ord	
Name Benjamin L	. Cohen, Executive	Vice Presiden	t for Academic	Affairs Chief O	perating Officer
Signature Benjan	nin L. Con	len ()			
Date U9/	26/06	-			
NOTE: Signatures of all the required. Submit multiple for	inventors or assign ms if more than or	ees of record one signature is a	f the entire inter equired, see blo	est or their repro	esentative(s) are
	rms are submitted.			***	

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043980-1000

STATEMENT UNDER 37 CFR 3.73(b)								
Applicant/Patent Owner: Guru V, Betageri								
Application No./Patent No.: 09/931,399/6,759,058 Filed/Issue Date: August 16, 2001 / July 6, 2004								
Entitled: ENTERIC-COATED PROLIPOSOMAL FORMULATIONS FOR POORLY WATER SOLUBLE DRUGS								
Western Center for Drug Development, College of Pharmacy, Western University of Health Sciences								
(Name of Assignee) , a <u>university</u> States that it is: (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)								
salus mat it is:								
1. Est the assignee of the entire right, title, and interest; or								
2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%								
in the patent application/patent identified above by virtue of either:								
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel <u>Q12979</u> , Frame <u>0020</u> or for which a copy thereof is attached.								
OR								
B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignce as shown below:								
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he undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.								
9/26/06 Benjamin L. Cohen								
Date Typed or printed name								
Surgamin L. Color Dis Signature Exer U.P. 4000								
Exer U.P. LCO.Q								
Title								

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TRANSMITTAL FORM			Patent Number		6,759,058 B1 OCT 2		
		Issue Date		July 6, 2004			
(to be used for all correspondence after initial filing)			First Named Inventor		Guru V. BETAGERI		
1			Group Art Unit		1615		
			Examiner Name		Gollamudi S. Kishore, PhD		
Total Number of Pages in This Submission		3 Attorney Docket Number			043980-1000		
		ENCLOSU	RES (check all that apply)				
Fee Transmittal Form		Assignm	ent Papers	7=-	After Allowance Communication to Group		
Fee Attached			(pplication)		Appeal Communication to Board of		
Amendment / Reply		Declaration and Power of Attorney			Appeals and Interferences Appeal Communication to Group		
After Final		Licensing-related Papers		l - '	(Appeal Notice, Brief, Reply Brief) Proprietary Information		
Affidavits/declaration(s)		☐ Petition	_ ·· - - 		Status Letter		
Extension of Time Request			to Convert to a Provisional		Application Data Sheet		
Express Abandonment Request Information Disclosure Statement Certified Copy of Priority		Applicat		<u> </u>	Request for Corrected Filing Receipt with Enclosures		
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					Other Enclosure(s) (please identify below):		
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Response to Missing Parts							
under 37 CFR 1.52 or 1.53		İ		ĺ			
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		Remarks The Commissioner is hereby authorized to charge any additional fees					
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